

Docket No.: 5895P043

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

HONG SOON NAM, ET AL.

Application No.: 10/695,174

Filed: October 27, 2003

For: Resource Allocation Method for Providing

Load Balancing and Fairness For Dual

Ring

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The references were cited in a Search Report dated March 24, 2004 (copy enclosed herewith) in a counterpart United Kingdom application, which was forwarded to Applicant's Representative in a communication dated March 31, 2004.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully	submitted,

BLAKELY, SOKPLOFF, TAYLOR & ZAFMAN LLP

Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800

Date:

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Melissa Stead

-2-

Date

5895P043



Application No. 10/695,174 TRANSMITTAL FORM Filing Date October 27, 2003 (to be used for all correspondence after initial filing) First Named Inventor Hong Soon Nam Art Unit **Examiner Name** Total Number of Pages in This Submission 9 5895P043 Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition to Convert a Provisional Application After Final Proprietary Information Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Other Enclosure(s) **Terminal Disclaimer** (please identify below): **Express Abandonment Request** Request for Refund UK Search Report; Prior Art Information Disclosure Statement References (1); return postcard TO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Eric S. Hyman, Reg. No. 30,139 Individual name BLAKELY, SOKOLOFF/TAYLOR & ZAFMAN LLP Signature Date CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Typed or printed name Melissa Stead Date Signature 11-17-64

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for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

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Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Complete if Known

Application Number 10/695,174

Filing Date October 27, 2003

First Named Inventor Hong Soon Nam

Examiner Name

Art Unit

Attorney Docket No. 5895P043

METHOD OF PAYMENT (check all that apply)				FE	E CALCULATI	ON (continue	ed)	·
Check Conditioned Money Cother Dates	3. ADDITIONAL FEES							
☐ Check ☐ Credit card ☐ None ☐ Other ☐ None ☐ Deposit Account	Larg	e Entity	ı Sma	II Entity	,			!
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Blakely, Sokoloff, Taylor & Zafman LLP	2063	130	2053	130	Non-English specificat	ion		
The Commissioner is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for	ex parte reexamin	ation	
Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920	 Requesting publication Examiner action 	n of SIR prior to		
Charge any additional fee(s) or underpayment of fees as required under 37 CFR \$\$ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1 840	* Requesting publication	n of SIR after		
§§ 1.16, 1.17, 1.18 and 1.20. Charge fee(s) indicated below, except for the filling fee	1000	1,0-10	1805	1,040	Examiner action	TO OIL LIGH		
to the above-identified deposit account	1251	110	2251	55	Extension for reply wit	thin first month		
FEE CALCULATION	1252	430	2252	215	Extension for reply wit	hin second month		
1. BASIC FILING FEE	1253	980	2253	490	Extension for reply wit	hin third month		
Large Entity Small Entity	1254	1,530	2254	765	Extension for reply wit	hin fourth month		
Fee Fee Fee Fee <u>Fee Description</u> FeePad Code (\$)	1255	2,080	2255	1,040	Extension for reply wit	thin fifth month		
	1404	340	2401	170	Notice of Appeal			
1001 790 2001 395 Utility filing fee 1002 350 2002 175 Design filing fee	1402	340	2402	170	Filing a brief in suppor	t of an appeal		
1003 550 2003 275 Plant filing fee	1403	300	2403	150	Request for oral hearing	ng		
1004 790 2004 395 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a p	ublic use proceedi	ng	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - una	avoidable		
SUBTOTAL (1) (\$)	1453	1,370	2453	685	Petition to revive - uni	ntentional		
	1501	1,370	2501	685	Utility issue fee (or rei	ssue)		
2. EXTRA CLAIM FEES Extra Fee from	1502	490	2502	245	Design issue fee			
Claims below FeePad	1503	660	2503	330	Plant issue fee			
Independent 20 = X	1460	130	2460	130	Petitions to the Comm			
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Multiple Dependent	1806	180	1806	180	Submission of Inform		tmt	
Large Entity Small Entity	8021	40	8021	40	Recording each paten property (times numb			
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	1809	790	1809	395	Filing a submission aff	er final rejection		
1202 18 2202 9 Claims in excess of 20					(37 CFR § 1.129(a))			
1201 88 2201 44 Independent claims in excess of 3	1810	790	2810	395	For each additional invexamined (37 CFR §			
1203 300 2203 150 Multiple Dependent claim, if not paid	1801	790	2801	395	Request for Continued		=)	
1204 88 2204 44 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited		-,	
1205 18 2205 9 **Reissue claims in excess of 20 and over		ee (specify)	1	300	of a design application			-
original patent	J Garage	oo (opoo)	'					··
SUBTOTAL (2) (\$)	7 							
**or number previously paid, if greater, For Reissues, see below				Paid	:	SUBTOTAL (3)	(\$)	
SUBMITTED BY Complete (if applicable)								
		Registration			20 120	Telephone	(310) 20	
Name (Print/Type) Eric S. Hyman	(4	Attorney/Ag	ent)		30,139	i elepi lürle	<u> </u>	
Signature						Date	1 11161	00

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NOV 2 7	Substitute for form 1449A/PTO
E.	INFORMATION DISCLOSURE
TRAI	TATEMENT BY APPLICANT
	(use as many sheets as necessary)

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Sheet

Complete if Known				
Application Number	10/695,174			
Filing Date	October 27, 2003			
First Named Inventor	Hong Soon Nam			
Art Unit				
Examiner Name				
Attorney Docket Number	5895P043			

			U.S. PATE	ENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2002/0067835	06-06-2002	Vatter	
		US-2003/0072268	04-17-2003	Nishimura et al.	
		US-			

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of

	FOREIGN PATENT DOCUMENTS					
Examiner Ci	Cite	Foreign Patent Document			Pages, Columns, Lines,	т6
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		WO 03/067835	08-14-2003	Tellabs Operations, Inc.		
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Examiner	Date
Signature	Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.